### lectronic Information Disclosure Statement OCT 3 1 2002

METHODS AND SYSTEMS FOR PROVIDING CONVERGED NETWORK MANAGEMENT FUNCTIONALITY IN A **GATEWAY ROUTING NODE** 

Application:

Confirmation: 7530

Applicant(s): Dan Brendes

Docket

1322/49/2

Number:

Group Art

Unit:

2142

Examiner:

Mark H. Reinhart

( 5974052 or 5958016 or 5949871 or 5940598 or 5926482 or 5923659 or 5920562 or 5917900 or 5912887 or 5892822 or 5889954 or 5878129 or 5872782 or 5867495 or 5852660 or 5838782 or 5828844 or 5815669 or 5812781 or 5805587 or 5802285 or 5793771 or 5787255 or 5781534 or

RECEIVED

NOV 0 4 2002

Technology Center 2100

search string: 5774695 or 5768525 or 5768361 or 5764955 or 5764750 or 5761500 or 5761281 or 5740374 or 5732213 or 5712903 or 5706286 or 5701301 or 5696809 or 5680552 or 5675635 or 5664102 or 5657452 or 5651002 or 5640446 or 5638431 or 5586177 or 5583927 or 5581558 or 5568487 or

5509010 or 5870565 ).pn.

### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

llinit	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
	P01	5974052	1999-10-	141201   11110   14111   14111   14111   1411   1411   1411	Johnson et al.	370	467

		26				
P02	5958016	1999-09- 28		Chang et al.	709	229
P03	5949871	1999-09- 07		Kabay et al.	379	229
P04	5940598	1999-08- 17		Strauss et al.	395	200.79
P05	5926482	1999-07- 20	1180448118610148141141111111111	Christie et al.	370	469
P06	5923659	1999-07- 13		Curry et al.	370	401
P07	5920562	1999-07- 06		Christie et al.	370	395
P08	5917900	1999-06- 29		Allison et al.	379	220
P09	5912887	1999-06- 15		Sehgal	370	354
P10	5892822	1999-04- 06	I INDUI BUNI BUNI BUNI BUNI BUNI BUNI BUNI	Gottlieb et al.	379	220
P1 1	5889954	1999-03- 30		Gessel et al.	395	200.53
P12	5878129	1999-03- 02	1131011301100130010010010010011001	Figurski et al.	379	230
P13	5872782	1999-02- 16		Dendi	370	385
P14	5867495	1999-02- 02	T TERROT BUDDINING BUDDINING BUBB BUDDINING	Elliot et al.	370	352
P1 5	5852660	1998-12- 22	111101110111111111111111111111111111111	Lindquist et al.	379	230
P16	5838782	1998-11- 1 <i>7</i>	THE REPORT OF THE PROPERTY OF	Lindquist	379	230
P1 7	5828844	1998-10- 27	1 (100) 100) 100) 100) 100) 100) 100	Civanlar et al.	395	200.58
P18	5815669	1998-09- 29	11000188810011101110811101111111111111	Lee et al.	395	200.68
P19	5812781	1998-09- 22		Fahlman et al.	395	200.56
		1998-09-				

P20	5805587	08		Norris et al.	370	352
P21	5802285	1998-09- 01	1 1882 1883 1881 1880 1880 1884 1884 1884 1884	Hirviniemi	395	200.8
P22	5793771	1998-08- 11	100701600100001000000001001001001000	Darland et al.	370	467
P23	5787255	1998-07- 28	TOTAL BEAUTIFUL SERVICE STATE OF STATE	Parlan et al.	395	200.63
P24	5781534	1998-07- 14		Perlman et al.	370	248
P25	5774695	1998-06- 30		Autrey et al.	395	500
P26	5768525	1998-06- 16	100100400010000010001000100010000000000	Kralowetz et al.	395	200.58
P27	5768361	1998-06- 16		Cowgill	379	229
P28	5764955	1998-06- 09		Doolan	395	500
P29	5764750	1998-06- 09	I ITEOLOGY ISON ESDECTION COMPANION VERNICATION	Chau et al.	379	229
P30	5761500	1998-06- 02		Gallant et al.	395	610
P31	5761281	1998-06- 02		Baum et al.	379	93.29
P32	5740374	1998-04- 14		Raffali– Schreinemachers	395	200.68
P33	5732213	1998-03- 24		Gessel et al.	395	200.11
P34	5712903	1998-01- 27	I INTERITORIA PETER NEDITA DECIMALITA CONTRA RED HATA	Bartholomew et al.	379	89
P35	5706286	1998-01- 06	I HARDI ARKI TETIF HARD ARKI HIDI AKKI TETIF HEDI	Reiman et al.	370	401
P36	5701301	1997-12- 23		Weisser, Jr.	370	428
P37	5696809	1997-12- 09	1 14701 4103 4103 1103 1103 1103 1103 1103 11	Voit	379	5
P38	5680552	1997-10- 21		Netravali et al.	395	200.2
		1997-10-				

APP\_ID=09770316 Page 3 of 5

P39	5675635	07		Vos et al.	379	113
P40	5664102	1997-09- 02	1 11120 1123 1121 1121 1121 1123 1123	Faynberg	395	200.76
P41	5657452	1997-08- 12		Kralowetz et al.	395	200.57
P42	5651002	1997-07- 22		Van Seters et al.	370	392
P43	5640446	1997-06- 17		Everett et al.	379	115
P44	5638431	1997-06- 10		Everett et al.	379	115
P45	5586177	1996-12- 17		Farris et al.	379	230
P46	5583927	1996-12- 10		Ely et al.	379	207
P47	5581558	1996-12- 03		Horney, II et al.	370	401
P48	5568487	1996-10- 22		Sitbon et al.	370	94.1
P49	5509010	1996-04- 16		La Porta et al.	370	68.1
P50	5870565	1999-02- 09		Glitho	395	200.79

### Remarks

(Remarks are not for responding to an office action.)

In accordance with 37 C.F.R. 1.56, 1.97, and 1.98 Applicant's attorney brings to the attention of the Patent and Trademark Office the documents cited in this Information Disclosure Statement. This is not to be construed as a representation that a search has been made or that a reference is relevant merely because cited. Although it is believed that no fee is due, the Commissioner is hereby authorized to charge any fees associated with the filing of this correspondence to Deposit Account No. 50-0426.

### **Signature**

APP\_ID=09770316 Page 4 of 5

Hen le

10-18-04

APP\_ID=09770316

Rago 5 o

# lectronic Information Disclosure Statement

METHODS AND SYSTEMS FOR PROVIDING CONVERGED NETWORK MANAGEMENT FUNCTIONALITY IN A GATEWAY ROUTING NODE

Application:

Confirmation:

7530

Applicant(s):

Dan Brendes

Docket

1322/49/2

Number:

Group Art Unit: 2142

Examiner:

Mark H. Reinhart

search string:

( 5430727 or 5420916 or 5384840 or 5315641 or 5239542 or 5208811 or 5142622 or

RECEIVED

NOV 0 4 2002

Technology Center 2100

5008929 ).pn.

### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
	P01	5430727	1995-07-04		Callon	370	85.13
	P02	5420916	1995-05-30		Sekiguchi	379	230
	P03	5384840	1995-01-24		Blatchford et al.	379	229
	P04	5315641	1994-05-24		Montgomery et	379	94

				al.		
P05	5239542	1993-08-24		Breidenstein et al.	370	79
P06 .	5208811	1993-05-04		Kashio et al.	370	94.1
P07	5142622	1992-08-25		Owens	395	200
P08	5008929	1991-04-16		Olsen et al.	379	112

### **Remarks**

(Remarks are not for responding to an office action.)

In accordance with 37 C.F.R. 1.56, 1.97, and 1.98 Applicant's attorney brings to the attention of the Patent and Trademark Office the documents cited in this Information Disclosure Statement. This is not to be construed as a representation that a search has been made or that a reference is relevant merely because cited. Although it is believed that no fee is due, the Commissioner is hereby authorized to charge any fees associated with the filing of this correspondence to Deposit Account No. 50-0426.

### **Signature**

Examiner Name	Date
Hun W	10-18-04

## **Electronic Information Disclosure** Statement

# METHODS AND SYSTEMS FOR PROVIDING CONVERGED NETWORK MANAGEMENT FUNCTIONALITY IN A **GATEWAY ROUTING NODE**

Application:

Confirmation: 7530

Applicant(s): Dan Brendes

Docket

1322/49/2

Number:

Group Art

Unit:

2142

Examiner:

Mark H. Rinehart

( 6366655 or 6324183 or 6236722 or 6215783 or 6201804 or 6195425 or 6157710 or 6154467 or 6151390 or 6144670 or 6144667 or 6137874 or 6137869 or 6134246 or 6134235 or 6128379 or 6125177 or 6125111 or 6122365 or 6122263 or 6122255 or 6119160 or 6118780 or 6118779 or

search string: 6115383 or 6112090 or 6111893 or 6097805 or 6097719 or 6094437 or 6084956 or 6084892 or 6079036 or 6078582 or 6075783 or 6069890 or 6067546 or 6064653 or 6047005 or 6026091 or 6023502 or 6021126 or 6018515 or 6014379 or 6011803 or 6011794 or 6011780 or 6006098 or

5995608 or 5991301 ).pn.

### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

Citation No.	Patent Number	Date	Bar Code	Patentee	Class	Subclass
P01	6366655	2002-04-		Book et al.	379	114.28

RECEIVED

NOV 0 4 2002

Technology Center 2100

		02				
P02	6324183	2001-11- 27		Miller et al.	370	467
P03	6236722	2001-05- 22		Gilbert et al.	379	230
P04	6215783	2001-04- 10		Neyman	370	353
P05	6201804	2001-03- 13		Kikinis	370	352
P06	6195425	2001-02- 27		Farris	379	230
P07	6157710	2000-12- 05		Figurski et al.	379	230
P08	6154467	2000-11- 28		Hager et al.	370	467
P09	6151390	2000-11- 21	1 18 18 18 18 18 18 18 18 18 18 18 18 18	Volftsun et al.	379	229
P10	6144670	. 2000-11- 07		Sponaugle et al.	370	401
P11 '	6144667	2000-11- 07	1 1100 6301 3165 6001 6100 6101 6301 6001	Doshi et al.	370	401
P12	6137874	2000-10- 24	14000 1001 1001 1001 1001 1001 1001 100	Brown et al.	379	220
P13	6137869	2000-10- 24		Voit et al.	379	114
P14	6134246	2000-10- 17		Cai et al.	370	474
P15	6134235	2000-10- 17		Goldman et al.	370	352
P16	6128379	2000-10- 03	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	Smyk	379	230
P17	6125177	2000-09- 26	TITIO ENDITORE NOTI OTAL BARRANTAN CON	Whittaker	379	243
P18	6125111	2000-09- 26		Snow et al.	370	360
P19	6122365	2000-09- 19	111101 1101 1101 1100 1100 1100 1100 1100 1100 1100	Yegoshin	379	266
		2000-09-				

APP\_ID=09770316 Page 2 of 5

P20	6122263	19		Dåhlin et al.	370	329
P21	6122255	2000-09- 19		Bartholomew et al.	370	237
P22	6119160	2000-09- 12	.	Zhang et al.	709	224
P23	6118780	2000-09- 12		Dunn et al.	370	355
P24	6118779	2000-09- 12		Madonna	370	353
P25	6115383	2000-09- 05		Bell et al.	370	400
P26	6112090	2000-08- 29		Valentine	455	445
P27	6111893	2000-08- 29		Volftsun et al.	370	466
P28	6097805	2000-08- 01		Figurski et al.	379	230
P29	6097719	2000-08- 01		Benash et al.	370	352
P30	6094437	2000-07- 25		Loehndorf, Jr. et al.	370	420
P31	6084956	2000-07- 04	13000 600 600 600 600 600 600 600	Turner et al.	379	230
P32	6084892	2000-07- 04		Benash et al.	370	701
P33	6079036	2000-06- 20		Moharram	714	712
P34	6078582	2000-06- 20	1111011001001010110110011001100110011001	Curry et al.	370	356
P35	6075783	2000-06- 13		Voit	370	352
P36	6069890	2000-05- 30		White et al.	370	352
P37	6067546	2000-05- 23		Lund	707	10
P38	6064653	2000-05- 16		Farris	370	237
		2000-04-				

P39	6047005	04	14101 (0) (0) (0) (0) (0) (0)	Sherman et al.	370	522
P40	6026091	2000-02- 15		Christie et al.	370	395
P41	6023502	2000-02- 08		Bouanaka et al.	379	115
P42	6021126	2000-02- 01		White et al.	370	352
P43	6018515	2000-01- 25	1 30000 1000 1000 1000 1000 1000 1000 1	Sorber	370	229
P44	6014379	2000-01- 11		White et al.	370	389
P45	6011803	2000-01- 04		Bicknell et al.	370	467
P46	6011794	2000-01- 04	1 1886    1 1986    1 1986    1 1986    1 1986    1 1986    1 1986    1 1986    1 1986    1 1986    1 1986	Mordowitz et al.	370	389
P47	6011780	2000-01- 04		1	370	237
P48	6006098	1999-12- 21	1 1888 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8 8	Rathnasabapathy et al.	455	461
P49	5995608	1999-11- 30		Detampel, Jr. et al.	379	205
P50	5991301	1999-11- 23	1110  110  110  1111  1111  1111  1111  1111  1111  1111	Christie	370	395

### Remarks

(Remarks are not for responding to an office action.)

In accordance with 37 C.F.R. 1.56, 1.97, and 1.98 Applicant's attorney brings to the attention of the Patent and Trademark Office the documents cited in this Information Disclosure Statement. This is not to be construed as a representation that a search has been made or that a reference is relevant merely because cited. Although it is believed that no fee is due, the Commissioner is hereby authorized to charge any fees associated with the filing of this correspondence to Deposit Account No. 50-0426.

### **Signature**

Hen le

10-18-04

APP\_ID=09770316

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent al. d Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction ersons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet of

Complete if Known				
Application Number	09/770.316			
	01/26/2001			
First Named Inventor	Dan Alan Brendes			
Art Unit	2142			
Examiner Name				
Attorney Docket Number	1222/40/2			

		-	U.S. PAT	ENT DOCUMENTS	
Examiner Initials*		Document Number  Number-Kind Code <sup>2</sup> (if known)		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
HL	A1	US-2002/0048360 A1		Zambre et al.	
HL	A2	US-6,178,181 B1	01/23/2001	Glitho	
		US-			
	ļ	US-			
		US-			
	<u> </u>	US-			
		US-			
	<u> </u>	US-		,	RECEIVED
	<u> </u>	US-			
	<u> </u>	US-			MAR 2 7 2003
		US-			Mitar
	-	US- US-			Technology Center 2100

FOREIGN PATENT DOCUMENTS						
Cite No.1	Foreign Patent Document  Country Code 3 -Number 4 -Kind Code 5 (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т6	
	;					
			-			
		Cite Foreign Patent Document	Cite Foreign Patent Document Publication Date	Cite Foreign Patent Document Publication Date Name of Patentee or	Cite Foreign Patent Document Publication Date Name of Patentee or Where Relevant Passages Where Relevant Passages	

Examiner	11. on 6.	Date	<i>i. t.</i> (2. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1.
Signature		Considered	10 -18 -0 9

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbol as a indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute fo	or form 1449A/PTO		<del></del>	Complete if Known			
_	OF IOTH 1440/VI TO			Application Number	09/770.316		
E	RMATION DISC	LO	SURE	Filing Date	01/26/2001		
				First Named Inventor	Dan Alan Brendes		
2003	TEMENT BY API			Art Unit	2142		
PFICE SO	(Use as many sheets as neces	ssarv)		Examiner Name			
Sheet	1	of	1	Attorney Docket Number	1322/49/2		

U. S. PATENT DOCUMENTS							
Examiner Initials*	Cite No. <sup>1</sup>	Document Number  Number-Kind Code <sup>2 (ff known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	ļ . —	US-					
		US-					
		US-			RECEIVED		
		US-			MAY 2 8 2003		
		US-			MH: 2 0 (003		
		US-		Te	chnology Center 2100		
		US-			on to our and the our		
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					
		US-					

	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
HL	A1	EP 0 853 411 A	12	07/15/1998	Bicknell et al.		X

Examiner Signature	Hance	Date Considered	10-18-04
Olg. Glo. C	() tools		•

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08b(05-03)

Approved for use through 04/30/2003. OMB 0651-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

persons are required to respond to a collection of information unless it contains a valid OMB control number. Under the Paperwo

Substitute for form 1449B/

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

	(Use as many sneet	s as necessary)	
Sheet	1	of	-

Complete if Known					
Application Number	09/770,316				
Filing Date	01/26/2001				
First Named Inventor	Dan Alan Brendes				
Art Unit	2142				
Examiner Name					
Attorney Docket Number	1322/49/2				

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²			
HL	B1	MICHAEL MCGREW, "Transport SS7 Signaling Over IP," Lucent Technologies Inc, p. 1-8, (November 1998).	Х			
		RECEIVED				
		MAY 2 8 2003				
		Technology Center 2100				
<u> </u>						
: :						
	L					

Examiner Signature	Hen le	Date Considered	10-18-04
-----------------------	--------	--------------------	----------

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

TRADE Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known **Application Number** 09/770.316 Filing Date 01/26/2001 First Named Inventor Dan Alan Brendes **Art Unit** 2142 Examiner Name

(Use as many sheets as necessary) Sheet

Attorney Docket Number 1322/49/2

				U. S. PATENT	DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Document Number-Kind Code <sup>2 (</sup>		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	<sup>US-</sup> 6,594,258	B1	07/15/2003	Larson et al.	
		US-				
		US-				
		US-				
		US-				RECEIVED
		US-				
		US-	i			SEP 0 3 2003
		US-				
		US-	Ī			Technology Center 2100
		US-				-
		US-			~ <del>                                    </del>	
		US-				
-		US-				
		UŞ-				
		US-				
		US-	<u> </u>			<del> </del>
		US-				
		US-				

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3-</sup> Number <sup>4-</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>			

Examiner Signature	H	loule	Date Considered	10-18-04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08a (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

perwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

uborute for form 1449A/PTO

US-

TE TRAD

Sheet

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known					
Application Number	09/770.316				
Filing Date	01/26/2001				
First Named Inventor	Dan Alan Brendes				
Art Unit	2142				
Examiner Name	Not Assigned				
Attorney Docket Number	1322/49/2				

**U. S. PATENT DOCUMENTS** Cite Examiner Publication Date Pages, Columns, Lines, Where **Document Number** Name of Patentee or Applicant of Cited Document No. MM-DD-YYYY Relevant Passages or Relevant Initials\* Number-Kind Code<sup>2</sup> (if known) Figures Appear HL 6,678,242 **B**1 01/13/2004 Simon A1 US-ŪS-US-US-US-US-US-FEB 0 4 2004 US-US-Technology Center 210 US-US-US-US-US-US-

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>			
<b> </b>									

Signature Considered 10-18-04	Examiner Signature	Henle	Date Considered /	0-18-04
-------------------------------	-----------------------	-------	----------------------	---------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### Sheet 1 of 1

	A THAN	CAP.						
FOR	-1449 U.S. Departm	Attorney Docke	et No. 1	322/49/2	Serial No	o. 09/770 <b>,</b> 316		
Patent and T	rk Office							
List of Docun	nents Ci	ited by Applicant						
				Applicant(s):	Brende	es et al.		
				Filing Date: .	January	26, 2001	Group: REC	ÉľVED
,			***				AUG	2 4 2004
			U.S. PAT	ENT DOCUMENTS	3		Technolog	y Center 2100
Examiner Initial		Document Number	Date	Name	Name Class S		Subclass	Filing date if Appropriate
HL	1	6,674,748	01/06/2004	Dykhuizen et	en et al.			
			FOREIGN P	ATENT DOCUMEN	NTS			
-		Document Number	Date	Country	Name	of Patent	ee or Applicar	Translation Yes   No
		OTHER DOCUM	IENTS (Including	g Author, Title, Date	e, Pertir	nent Page	es, Etc.)	
-					<u></u> .	·····		-

AUG 1 B 2004

**EXAMINER** 

\*Examiner Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.